

Please read the instructions provided before completing this form.

I. Identification *The shaded area below is reserved for preprinted information. Please make any corrections below.*

Corrections:

A. Please enter the name, title, and phone number of the company representative who can answer questions regarding the information provided on this form.  
Please make any corrections below.

Corrections:

II. Coking Coals *Please read Section F, General Instructions before completing this section.*

A	Ending Stocks Previous Quarter (short tons)	
B	Total Receipts During Quarter (short tons)	
C	Coal Carbonized During the Quarter (short tons)	
D	Adjustments (short tons)	
E	Stocks at the end of the Quarter (short tons)	
F	Total Cost of Coal Received on a C.I.F. Basis (dollars)	

III. Coke and Breeze *Please read Section F, General Instructions before completing this section.*

Product  (A)	Uses  (B)	Ending Stocks Previous Quarter  (C)	Production  (D)	Adjustments  (E)	Quantity Purchased or Transferred to this Plant  (F)	Distribution			Stocks at the End of the Quarter  (J)
						Quantity Used by Your Co.  (G)	Quantity Transferred to Affiliated Companies (H)	Quantity of Commercial Sales (I)	
Coke	Blast Furnace								
	Foundry								
	Other Industries								
	Total								
Breeze	All								

IV. Remarks:

V. Point of Contact

NameTitleDate

## Instructions for completing Form EIA-5, "Coke Plant Report Quarterly"

**A. PURPOSE** This survey collects data related to coal carbonized and stocks and coke production, distribution and stocks from U.S. coke plants to provide Congress with basic statistics concerning coal consumption, stocks, and prices as required by the Federal Energy Information Administration Act of 1974 (FEAA) (P.L. 93-275) as amended. These data appear in the *Coal Industry Annual*, the *Quarterly Coal Report*, the *Monthly Energy Review*, the *Annual Energy Review*, the *State Energy Price and Expenditure Reports*, the *State Energy Data Report* and the *Bureau of Labor Statistics Bulletin, Productivity Measures for Selected Industries*.

In addition, the Energy Information Administration uses the data for coal demand analyses and in short-term modeling efforts which produce forecasts of energy (coal) demand and prices requested by Congress. The forecast data appear in the *Short-Term Energy Outlook* and the *Annual Energy Outlook*.

**A. WHO MUST SUBMIT** Form EIA-5 must be completed by all companies operating coke plants in the United States. A separate report must be submitted for each coke plant owned. Submit the Form to EIA and retain a copy for your files.

**C. WHEN TO SUBMIT** Form EIA-5 shall be submitted no later than one month after the close of each report quarter. The reporting quarters are: January 1 - March 31 (first), April 1 - June 30 (second), July 1 - September 30 (third), and October 1 - December 31 (fourth). The respective due dates are May 1, August 1, November 1, and February 1.

**D. WHERE TO SUBMIT** Form EIA-5 should be returned in the business reply envelope provided to:

Energy Information Administration, EI-522  
Mail Station: BG-094, FORSTL  
U.S. Department of Energy  
Washington, D.C. 20585  
Attn: EIA-5

Requests for further information, additional forms, or business reply envelopes should be directed to the address above or by telephone on (202) 426-1155.

**E. SANCTIONS** The timely submission of Form EIA-5 by those required to report is mandatory under Section 13(b) of the FEAA as amended. Failure to respond may result in a civil penalty of not more than \$2,500 for each violation, or a fine of not more than \$5,000 for each willful violation. Civil action may be enforced to prohibit reporting violations and may result in the granting of a temporary restraining order or a preliminary or permanent injunction without bond. In such civil action, the court may also issue mandatory injunctions commanding any person to comply with these reporting requirements.

### F. GENERAL INSTRUCTIONS

1. Complete all data items applicable to your operations. If an item is not applicable leave it blank.
2. Report all quantities in short tons (a short ton equals 2,000 pounds) to the nearest whole ton.
3. Report all values in dollars to the nearest whole dollar.
4. Report only coal received for carbonization at the coke plant. All other coal should be reported on Form EIA-3, Quarterly Coal Consumption Report.
5. Report coal quantities as received at the plant.
6. Cost of coal received includes insurance, freight, taxes, i.e. the delivered cost at the plant.
7. In Section II, Ending Stocks Previous Quarter, (Column B), report any revisions to the previous quarter's ending coal stocks estimate, by drawing a line through the preprinted quantity and entering the revised quantity above it.
8. In Section II, Adjustments (column F), report any coal stock losses, transfers of coal stocks to other plants owned by or affiliated with your company, or any sales of coal stocks that occurred during the quarter. Enter a "+" or a "-" in front of the number to indicate whether the adjustment is an addition or a reduction.
9. In Section III, Ending Stocks Previous Quarter (Column C), report any revisions to the previous quarter's ending coke and breeze stock estimates, by drawing a line through the preprinted quantity and entering the revised quantity above it.
10. In Section III, Adjustments (Column E), report coke production adjustments due to rescreening. Enter a "+" or a "-" in front of the number to indicate whether the adjustment is an addition or reduction.
11. To check the accuracy of the report, check whether the following equations are satisfied.

#### (i) Coal

(Ending Stocks for the previous quarter) + (Receipts during the quarter) - (Amount Carbonized during the quarter) +/- (Adjustment) = (Stocks at the end of the current quarter)

#### (ii) Coke/Breeze

(Ending Stocks for the previous quarter) + (Production during the quarter) +/- (Adjustment)  
+ (Quantity purchased or transferred to this plant) - (Quantity Used during the quarter)  
- (Quantity Sold Commercially during the quarter) = (Stocks at the end of the current quarter)

If the above equations are not satisfied, please recheck the figures you have reported and make the necessary corrections or explain the differences in the remarks section.

### G. DEFINITIONS

1. **Affiliate Transfers:** The quantity of coke/breeze transferred to integrated operations and/or affiliated companies, as opposed to commercial or open market sales to other companies.
2. **Breeze:** The fine screenings from crushed coke. Usually breeze will pass through either a 1/2 inch or 3/4 inch screen opening, depending on the coke plant.
3. **C.I.F.:** Cost including Insurance and Freight, i.e., the delivered cost at the plant.
4. **Coke:** Strong porous residue consisting of carbon and mineral ash formed when coal is heated in a limited air supply or in the absence of air.
5. **Commercial Sales:** The quantity material sold during the reporting quarter to a company not integrated or affiliated with producing company.

**H. DISCLOSURE OF INFORMATION** The Office of Legal Counsel of the Department of Justice concluded on March 20, 1991, that the FEAA requires the Energy Information Administration to provide company-specific data to the Department of Justice, or to any other Federal Agency when requested for official use, which may include enforcement of Federal law. The information contained on this form may also be made available, upon request, to another component of the DOE; to any Committee of Congress, the General Accounting Office, or other Congressional agencies authorized by law to receive such information. A court of competent jurisdiction may obtain this information in response to an order.

The name and address of the responding company, and location (state, county, and/or coal-producing district) will be released upon request in the form of a full or partial list of respondents for this coal data collection survey.

The other information contained on this form will be kept confidential and not disclosed to the public to the extent that it satisfies the criteria for exemption under the Freedom of Information Act (FOIA), 5 U.S.C. §552, the DOE regulations, 10 C.F.R. §1004.11, implementing the FOIA, and the Trade Secrets Act, 18 U.S.C. §1905.

Upon receipt of a request for this information under the FOIA, the DOE shall make a final determination whether the information is exempt from disclosure in accordance with the procedures and criteria provided in the regulations. To assist us in this determination, respondents should demonstrate to the DOE that, for example, their information contains trade secrets or commercial or financial information whose release would be likely to cause substantial harm to their company's competitive position. A letter accompanying the submission that explains (on an element-by-element basis) the reasons why the information would be likely to cause the respondent substantial competitive harm if released to the public would aid in this determination. A new justification does not need to be provided each time information is submitted on the form, if the company has previously submitted a justification for that information and justification has not changed.

**I. REPORTING REQUIREMENTS AND BURDEN:** This report is mandatory under Public Law 93-275. Failure to comply may result in criminal fines, civil penalties and other sanctions as provided by law. For the provisions concerning the confidentiality of information submitted on this form, see Section H of the Instructions. *Public reporting burden for this collection of information is estimated to average 0.9 hours per response*, including the time of reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Energy Information Administration, Office of Statistical Standards, EI-73, Forrestal, 1000 Independence Ave. SW, Washington, DC 20585; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503. Respondents are not required to file this report unless it contains a valid OMB control number.